1	Title: A	Single Dose	of Self-Trans	cribing and	l Replicating	ס RNA B	ased SARS	-CoV-2
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- 2 Vaccine Produces Protective Adaptive Immunity In Mice.
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### 35 ABSTRACT

- 36 A self-transcribing and replicating RNA (STARR<sup>TM</sup>) based vaccine (LUNAR<sup>®</sup>-COV19) has
- 37 been developed to prevent SARS-CoV-2 infection. The vaccine encodes an alphavirus-based
- 38 replicon and the SARS-CoV-2 full length spike glycoprotein. Translation of the replicon
- 39 produces a replicase complex that amplifies and prolong SARS-CoV-2 spike glycoprotein
- 40 expression. A single prime vaccination in mice led to robust antibody responses, with
- 41 neutralizing antibody titers increasing up to day 60. Activation of cell mediated immunity
- 42 produced a strong viral antigen specific CD8<sup>+</sup> T lymphocyte response. Assaying for
- 43 intracellular cytokine staining for IFN- $\gamma$  and IL-4 positive CD4<sup>+</sup> T helper lymphocytes as
- 44 well as anti-spike glycoprotein IgG2a/IgG1 ratios supported a strong Th1 dominant immune
- 45 response. Finally, single LUNAR-COV19 vaccination at both 2 μg and 10 μg doses
- 46 completely protected human ACE2 transgenic mice from both mortality and even measurable
- 47 infection following wild-type SARS-CoV-2 challenge. Our findings collectively suggest the
- 48 potential of Lunar-COV19 as a single dose vaccine.

49

#### 51 INTRODUCTION

The pandemic of coronavirus disease-2019 (COVID-19) has afflicted tens of millions of 52 53 people, of which hundreds of thousands have died from severe respiratory dysfunction and 54 other complications of this disease [1]. The etiological agent of COVID-19 is the severe acute 55 respiratory syndrome coronavirus 2 (SARS-CoV-2), which may have first emerged from a zoonotic source to then spread from person-to-person until global dissemination [1]. Current 56 57 control measures to curb the pandemic, such as national lockdowns, closure of work places 58 and schools and reduction of international travel are threatening to draw the world into a 59 global economic recession of unprecedented scale [2]. Vaccines that elicit durable protection against SARS-CoV-2 infection are thus urgently needed [3]. Encouragingly, hundreds of 60 different vaccine development efforts are currently in progress, some of which have even 61 62 entered phase III clinical trials [4, 5].

63

Despite some candidates reaching late-stage clinical trials, there is some uncertainty that 64 production can be upscaled in a sufficiently accelerated timeline to manufacture the billions 65 of vaccine doses required to immunize the world's population [6]. Furthermore, recent results 66 67 from early phase COVID-19 vaccine trials have suggested that more than one dose would be 68 needed to elicit reasonable levels of adaptive immune memory [7-9]. Durable protection with a single dose has been achieved with some viral live-attenuated vaccines (LAV), such as the 69 70 yellow fever vaccine [10-12]. However, since the genetic determinants of the clinical fitness of SARS-CoV-2 are not well defined, development of a LAV SARS-CoV-2 strain that is safe 71 72 for use in humans is challenging. An alternative approach would be to mimic the key 73 immunogenic properties of live viral vaccines, to develop an alternate vaccine platform that 74 could also be effective in preventing COVID-19 with a single dose. A single dose vaccine 75 would not only avoid logistics and compliance challenges associated with multi-dose 76 vaccines, but also allow vaccination of more individuals with each batch [6]. 77

RNA vaccines offer a rapid approach to develop a COVID-19 vaccine [13]. RNA vaccines
are designed using the genetic sequence of the viral antigen and rapidly manufactured using
cell-free, rapidly scalable techniques [14]. The RNA is encapsulated in a lipid nanoparticle
(LNP), which generates robust immune responses without the need for adjuvants [15, 16].
There are two main categories of RNA vaccines; 1) the conventional messenger RNA
(conventional mRNA) vaccine, where the immunogen of interest is directly translated from
the input vaccine transcript, and 2) the newer self-replicating RNA (replicon) vaccines [14].

85 Replicon vaccines encode replication machinery, usually alphavirus-based replication

- 86 complex, that amplify sub-genomic RNA carrying the antigen of interest, resulting in the
- 87 amplification of transcripts bearing the antigen by several orders of magnitude over the initial
- dose [17]. Prolonged antigen expression by such a construct could not only produce the
- 89 obvious dose sparing effects [17] but potentially also elicit innate and adaptive immune
- 90 responses similar to those associated with live vaccines. Herein, we show a head-to-head
- 91 comparison between a self-replicating RNA vaccine using Arcturus' proprietary Self-
- 92 Transcribing and Replicating RNA (STARR<sup>TM</sup> technology and a conventional mRNA
- 93 vaccine against SARS-CoV-2 and suggest that the STARR vaccine, LUNAR-COV19 offers
- 94 superior vaccine-induced immune responses to conventional mRNA.
- 95

## 96 **RESULTS**

97

# 98 Comparison of design and expression of STARR and conventional mRNA platforms

Both LUNAR-COV19 and conventional mRNA vaccine constructs were designed to encode 99 100 the full-length, unmodified, pre-fusion SARS-CoV-2 S protein (1273 aa), with LUNAR-101 COV19 additionally encoding the Venezuelan equine encephalitis virus (VEEV) replicase 102 genes required for self-amplification (Figure 1A). We first defined the characteristics of these different constructs, which were both formulated with the same LUNAR LNP lipid 103 104 formulation. Despite differences in RNA lengths for LUNAR-COV19 and conventional 105 mRNA, the LNP diameter, polydispersity index and RNA trapping efficiency were similar 106 (Figure 1B). In vitro expression of the LUNAR-COV19 and conventional mRNA vaccine were confirmed in cell lysate 24 hours post-transfection through positive western blot 107 108 detection of the S protein (Figure 1C). It was also observed that both vaccines expressed a 109 mixture of full-length S protein and cleaved S protein, i.e. into S1 and S2 transmembrane and 110 cytoplasmic membrane domains (Figure 1C). We then compared *in vivo* protein expression of the two RNA platforms in BALB/c mice, by using STARR and conventional mRNA 111 constructs that expressed a luciferase reporter (Figure 1D). As expected, animals injected 112 with the conventional mRNA vaccine construct showed high in vivo luciferase expression at 113 day 1 although the expression levels declined significantly three days post injection. In 114 115 contrast, the luciferase expression in STARR injected mice showed increased signal of 116 protein production compared to conventional mRNA at all time points after Day 1 up to Day

117 7 post-inoculation (the last time point measured) and at doses  $\geq 2.0 \ \mu g$ , protein expression

- 118 appeared to be still rising at day 7 (Figure 1D). These data showed that dose-for-dose, the
- 119 STARR luciferase construct yielded higher and more prolonged duration of luciferase
- expression compared to mice injected with the conventional mRNA luciferase construct.
- 121

# 122 Immune gene expression following LUNAR-COV19 and conventional mRNA vaccination

123 C57BL/6J mice were vaccinated with LUNAR-COV19 or conventional mRNA vaccines at

124 0.2 µg, 2 µg and 10 µg doses or PBS control. No significant mean loss in animal weight

125 occurred over the first 4 days, except for those that received 10 µg of LUNAR-COV19

126 (Figure 2A). However, apart from weight loss, there were few other clinical signs as

127 indicated by the minimal differences in clinical scores. Both weight and clinical scores

128 improved uneventfully after day 3 post vaccination.

129

130 The innate immune response, particularly the type-I interferon (IFN) response has previously

been shown to be associated with vaccine immunogenicity following yellow fever

132 vaccination [11, 12, 18]. Furthermore, we have also found that reactive oxygen species-

driven pro-inflammatory responses underpinned systemic adverse events in yellow fever

134 vaccination [19, 20]. Therefore, we measured the expression of innate immune and pro-

inflammatory genes in whole blood of C57BL/6 mice inoculated with either PBS,

136 conventional mRNA vaccine or LUNAR-COV19. Genes in the type-I IFN pathway were the

137 most highly expressed in animals inoculated with LUNAR-COV19 compared to either

138 conventional mRNA vaccine or PBS (Figure 2B and Supplementary Figure 1). By contrast,

139 genes associated with pro-inflammatory responses were mostly reduced in abundance

140 following LUNAR-COV19 vaccination compared with either conventional mRNA vaccine or

141 PBS (Figure 2B and Supplementary Figure 1).

142

Since adaptive immune responses develop in germinal centers in the draining lymph nodes,
we dissected the draining lymph nodes at day 7 post-inoculation (study schematic in Figure
2A). The inguinal lymph nodes of mice inoculated with LUNAR-COV19 showed a dosedependent increase in weight, unlike those from mice inoculated with either conventional
mRNA vaccine or PBS; the mean weight of lymph nodes from mice given 10 µg of LUNARCOV19 was significantly higher than those given the equivalent conventional mRNA vaccine
(Figure 2C). Principal component analysis (PCA) of immune gene expression showed

150 clustering of responses to each of the 3 doses of LUNAR-COV19 away from the PBS control

151 (depicted as red and orange spheres in **Figure 2D-F**), indicating clear differences in immune

152 gene expression between LUNAR-COV19 vaccinated and placebo groups. These trends were

- also dissimilar to those from mice given conventional mRNA vaccine where at all tested
- doses, the PCA displayed substantial overlap with PBS control (shown as blue and orange
- spheres in Figure 2D-F).
- 156

157 We next assessed the differentially expressed genes in the lymph nodes of mice given LUNAR-COV19 compared to those inoculated with mRNA vaccine. Volcano plot analysis 158 159 identified significant upregulation of several innate, B and T cells genes in LUNAR-COV19 160 immunized animals (Figure 2G-I). Some of the most highly differentially expressed genes 161 included, GZMB (required for target cell killing by cytotoxic immune cells) [21], S100A8 162 and S100A9 (factors that regulate immune responses via TLR4) [22], TNFRSF17 (also known as BCMA and regulates humoral immunity) [23], CXCR3 (chemokine receptor 163 involved in T cell trafficking and function) [24] and AICDA (mediates antibody class 164 165 switching and somatic hypermutation in B cells) [25]. These findings collectively indicate that the adaptive immune responses in the draining lymph nodes of mice inoculated with 166 167 LUNAR-COV19 may differ to those given the non-replicating mRNA vaccine.

168

# 169 LUNAR-COV19 induced robust T cell responses

170 We next investigated the cellular immune response following vaccination of C57BL/6 mice (n=5 per group) with LUNAR-COV19 or conventional mRNA. At day 7 post-vaccination, 171 172 spleens were harvested and assessed for CD8 and CD4 T cells by flow-cytometry. The CD8+ T cell CD44+CD62L- effector/memory subset was significantly expanded in LUNAR-173 174 COV19 vaccinated mice compared to those given either PBS or conventional mRNA vaccine 175 (Figure 3A-B). There was no statistically significant difference in the proportion of CD4+ T effector cells in these animals (Figure 3C). IFN $\gamma$ + CD8+ T cells (with 2 µg and 10 µg doses) 176 177 and IFN $\gamma$ + CD4+ T cells (in 0.2 µg and 10 µg) were proportionately higher, as found using

178 intracellular staining (ICS) with flow cytometry, in LUNAR-COV19 as compared to

179 conventional mRNA vaccinated animals (Figure 3D-F).

- 180
- 181 SARS-CoV-2 specific cellular responses were assessed in vaccinated animals by ELISPOT.
- 182 A set of 15-mer peptides covering the full length SARS-CoV-2 S protein were divided into 4
- 183 pools and tested for IFN $\gamma$ + responses in splenocytes of vaccinated and non-vaccinated

- animals. SARS-CoV-2-specific cellular responses (displayed as IFN $\gamma$ + SFU/10<sup>6</sup> cells) were
- 185 detected by ELISPOT in both LUNAR-COV19 and conventional mRNA vaccine immunized
- animals compared to PBS control (Figure 3G-I). These responses were substantially higher
- 187 across all doses in LUNAR-COV19 compared to conventional mRNA vaccinated groups
- **188** (Figure 3G-I). Even the highest tested dose  $(10 \ \mu g)$  of conventional mRNA vaccine
- 189 produced IFN $\gamma$ + ELISPOT responses that were appreciably lower than those by the lowest
- 190 dose  $(0.2 \ \mu g)$  of LUNAR-COV19.
- 191

# 192 LUNAR-COV19 induced superior humoral immune responses

193 SARS-CoV-2-specific humoral responses following vaccination with a single injection were characterized in two different mouse models, BALB/c and C57BL/6. Female mice (n=5 per 194 195 group) were vaccinated at day 0 and bled every 10 days, up to day 60 for BALB/c and day 30 196 for C57BL/6 (Figure 4A). SARS-CoV-2 S-specific IgM responses were tested at 1:2000 197 serum dilution using an in-house Luminex immuno-assay. All tested doses of the 198 conventional mRNA vaccine and LUNAR-COV19 produced detectable S-specific IgM 199 responses in both mouse models (Figure 4B-C). When comparing LUNAR-COV19 to conventional mRNA vaccinated BALB/c mice, no difference in IgM responses was observed; 200 IgM levels in C57BL/6 mice were higher in LUNAR-COV19 vaccinated C57BL/6 mice at 201 day 10 post vaccination. In contrast, SARS-CoV-2 S-specific IgG (at 1:2000 serum dilution) 202 203 levels were universally higher from day 20 onwards in animals inoculated with LUNAR-204 COV19 compared to conventional mRNA vaccine (Figure 4D-E). Perhaps even more 205 remarkably, in BALB/c vaccinated with LUNAR-COV19, the IgG levels continued to 206 increase until day 50 post-vaccination; C57BL/6 mice were only monitored until day 30 post-207 vaccination. This trend contrasted sharply with mice that received the conventional mRNA 208 vaccine where in BALB/c mice antibody levels plateaued after day 10 post-vaccination; although increasing S-specific IgG levels were observed in conventional mRNA-vaccinated 209 210 C57BL/6 mice these were universally lower than those that received LUNAR-COV19. 211

- 212 In depth characterization of the SARS-CoV-2 specific IgG response in vaccinated animals
- 213 was conducted at day 30 post-immunization to assess which regions of S protein are targeted.
- IgG endpoint titers were estimated to full ectodomain S protein, S1, S2 and receptor binding
- domain (RBD) regions. As expected for both vaccine candidates the majority of SARS-CoV-
- 216 2 specific IgG recognized S1, which contains the RBC, although high IgG endpoint titers

217 were also detected to S2 protein (Figure 4F-G). However, LUNAR-COV19 elicited IgG endpoint titers were universally and significantly higher compared to those produced by 218 219 conventional mRNA vaccination (Figure 4F-G). Notably, IgG that bind the RBD of S 220 protein, which is an immunodominant site of neutralizing antibodies [26, 27], were also 221 higher in LUNAR-COV19 compared to conventional mRNA vaccinated animals. It is also 222 noteworthy that at lower doses, conventional mRNA vaccine but not LUNAR-COV19 223 struggled to elicit high SARS-CoV-2 specific IgG titers in the more Th1 dominant C57BL/6 mouse strain (Figure 4G). Taken collectively, a single dose of LUNAR-COV19 induced 224 225 significant differences in immune gene expression and superior cellular immune responses in 226 draining lymph nodes compared to the conventional mRNA vaccine and consequently greater 227 and more prolonged humoral immune responses.

228

229 We assessed both the binding strength (avidity) and the neutralizing ability of the antibody

response elicited by these vaccine constructs. Serum IgG avidity was measured at day 30

231 post-vaccination using a modified Luminex immuno-assay with 8M urea washes. LUNAR-

232 COV19 elicited higher avidity S protein-specific IgG in both mouse models at all tested

doses (Figure 4H). These differences were observed, with the exception of  $0.2 \mu g$  in

BALB/c, across all doses (Figure 4H), indicating that LUNAR-COV19 elicited better quality

- antibodies, suggesting superior affinity maturation with the LUNAR-COV19 vaccine.
- 236

Neutralization of live SARS-CoV-2 by serum from vaccinated animals was assessed using 237 the plaque reduction neutralization test (PRNT). At day 30 LUNAR-COV19 vaccinated 238 239 BALB/c mice showed a clear dose-dependent elevation in PRNT<sub>50</sub> titers; 4 out of 5 (80%) of mice in the 10 µg LUNAR-COV19 group showed PRNT<sub>50</sub> titers greater than 320, which was 240 the upper limit of our dilution (Figure 4I). Similar dose-dependent trends in PRNT<sub>50</sub> titers 241 were also found in C57BL/6 mice although in these animals, the PRNT50 titers of several 242 243 animals exceeded 320 even with the lowest 0.2 µg dose vaccination (Figure 4I). In sharp 244 contrast, PRNT<sub>50</sub> titers in animals inoculated with the conventional mRNA vaccine construct were, except for one C57BL/6J mouse that received 10 µg dose, all <20 (Figure 4I). 245 Unexpectedly but encouragingly, PRNT<sub>50</sub> and PRNT<sub>70</sub> titers of LUNAR-COV19 vaccinated 246 247 BALB/c mice continued to rise between day 30 and day 60 after a single vaccination (Figure **4J-K**) and at both time points for doses  $\geq 2.0 \ \mu g$ . These titers were comparable to PRNT<sub>70</sub> 248 249 titers for sera from convalescent COVID-19 patients (Figure 4K).

#### 250

251 We also found that the S protein IgG titers positively correlated with PRNT<sub>50</sub> titers with 252 LUNAR-COV19 vaccinated mice in both mouse models (Figure 4L). Similar positive 253 correlations were also observed with IgG against S1 and RBD (Supplementary Figure 1). 254 By contrast, we found no correlation between IgG and PRNT<sub>50</sub> titers in conventional mRNA 255 vaccinated mice (Figure 4L). Taken collectively, our antibody response analyses suggest that 256 the higher PRNT<sub>50</sub> titers following vaccination with LUNAR-COV19 are not only strongly 257 associated with the amount of IgG produced but are also a factor of the superior quality of the 258 anti-SARS-CoV-2 antibodies produced following vaccination with LUNAR-COV19.

259

# 260 LUNAR-COV19 vaccination showed a Th1 dominant response

A safety concern for a coronavirus vaccine is the risk of vaccine-associated immune
enhancement of respiratory disease (VAERD) [28]. Indeed, SARS-CoV and MERS-CoV

263 vaccine development have highlighted the importance of Th1 skewed responses in mitigating

the risk of vaccine-induced immune enhancement [29, 30]. Therefore, we investigated the

265 Th1/ Th2 balance elicited by vaccination with both conventional mRNA and LUNAR-

266 COV19. The IgG subclass fate of plasma cells are highly influenced by T helper (Th) cells

267 [31]. At day 30 post-vaccination, both conventional mRNA and LUNAR-COV19, induced

comparable amounts of SARS-CoV-2 S-specific IgG1, a Th2-associated IgG subclass in

269 mice, except for the 0.2 µg dose in C56BL/6J mice (Figure 5A-B). In contrast, the Th1-

associated IgG subclasses - IgG2a in BALB/c and IgG2c in C56BL/6J - were significantly

greater in LUNAR-COV19 vaccinated animals. The ratios of S protein-specific IgG2a/IgG1

272 (Balb/c) and IgG2c/IgG1 (C57BL/6) were greater than 1 in LUNAR-COV19 vaccinated

animals (Figure 5A-B). Except for the 0.2 µg dose, these ratios were all significantly greater

with LUNAR-COV19 compared to the conventional mRNA vaccinated animals.

275

276 Additionally, we used ICS to investigate the production of IFNγ (Th1 cytokine) and IL4 (Th2

277 cytokine) by CD4+ T cells in spleens at day 7 post vaccination C56BL/6J mice. As was

278 described above, compared to conventional mRNA vaccination, IFNy levels were

significantly greater in LUNAR-COV19 vaccinated animals (Figure 3F). IL4 expression in

280 CD4 T cells were slightly higher with conventional mRNA as compared to LUNAR-COV19

at 0.2 and 2.0 µg doses (Figure 5C). In comparing the IFNy and IL4 levels in individual

282 mice, we found that the ratios of IFNy/IL4 in CD4+ T cells for both LUNAR-COV19 and

conventional mRNA vaccinated mice were universally above 1 (Figure 5D). The ratio of

284 IFN $\gamma$ /IL4 in CD4+ T cells in mice given the 0.2 and 2.0  $\mu$ g doses were significantly greater

with LUNAR-COV19 than conventional mRNA vaccination (Figure 5F). However, the

elevated ratios at these doses were due to a decrease in IL4 expression at levels below

background (i.e. PBS control mice), rather than reduced IFNγ and hence Th1 activity. Taken

collectively, our data show that LUNAR-COV19 produced a Th1 biased adaptive immuneresponse.

290

# 291 Single dose of LUNAR-COV19 protects from a lethal infection of SARS-CoV-2

Finally, we tested the efficacy of LUNAR-COV19 in protecting against infection and
mortality in a lethal SARS-CoV-2 challenge model. Transgenic hACE2 mice immunized
with either PBS, or 2 µg or 10 µg of LUNAR-COV19 vaccine were intranasally challenged
with live SARS-CoV-2 virus (5x10<sup>4</sup>TCID<sub>50</sub>) at day 30 post-vaccination. This was the same

isolate as that used for our PRNT assays. Mice were then divided into two groups: one group

297 was tracked for weight, clinical scores and survival; a second group of mice were euthanized

at 5 days post injection (dpi) and viral loads assessed in the respiratory tract (trachea to lung)

and brain (Figure 6A). Measurement of PRNT<sub>70</sub> titers confirmed the generation of

antibodies in LUNAR-COV19-vaccinated hACE2 mice (Figure 6B).

301 Irrespective of tested dosages, mice that received the LUNAR-COV19 vaccine showed

302 unchanged weight and no clinical sign, while the PBS mice showed significant drop in

303 weight and increased clinical scores upon challenge with wild-type SARS-CoV-2 (**Figure** 

**6C-D**). LUNAR-COV19 vaccination at both 2 μg and 10 μg doses fully protected hACE2

305 mice from an otherwise 100% mortality at day 7 post-challenge (**Figure 6E**). Assessment of

306 tissue viral load at day 5 post-challenge found minimal to no SARS-CoV-2 RNA (Figure 6F)

in contrast to unvaccinated animal controls. Although viral RNA was detectable at very low

308 levels in some animals, this was not associated with any presence of infectious viral particles,

so most like represents viral RNA fragments rather than intact viral RNA genomes. No

310 detectable infectious virus was found in either the respiratory tracts or brains of LUNAR-

311 COV19 vaccinated animals (Figure 6G). By contrast, unvaccinated animals showed 4 and 8

312 logs of infectious SARS-CoV-2 in the respiratory tract and brain, respectively (Figure 6G).

313 Collectively, these data show that a single dose of LUNAR-COV19 vaccine induced robust

humoral and cellular immune responses that led to complete protection of hACE2 mice from

a lethal SARS-CoV-2 challenge.

#### 316

# 317 **DISCUSSION**

318 The pandemic of COVID-19 has necessitated rapid development of vaccines. Encouragingly, 319 several COVID-19 vaccine candidates are now in clinical trials and more are entering first-in-320 human trials. However, the majority of vaccine candidates being developed require two or more doses for sufficient adaptive immune responses. Requirement for a second shot could 321 322 complicate compliance rate in mass vaccination campaigns and results in fewer subjects 323 vaccinated per batch, thereby reducing the efficiency of vaccination. Hence, a single dose 324 vaccine that generates robust and sustained cellular and humoral immunity, without elevating 325 the risk of vaccine-mediated immune enhancement, remains an unmet need. 326 327 Amongst the licensed vaccines for other diseases, live attenuated vaccines can offer the most

durable protection against viral diseases. Live vaccines infect and replicate at sites of 328 329 inoculation and some even in draining lymph nodes. Replication enables endogenous and sustained expression of viral antigens that enable antigen presentation to stimulate cytotoxic 330 331 CD8+ T cells. Expressed antigens taken up by antigen presenting cells also trigger CD4+ T 332 cell help that drives affinity maturation in B cells. Studies on the live attenuated yellow fever 333 vaccine, have shown that a longer period of stimulation of the adaptive immune response results in superior adaptive immune responses [32]. Although work to determine which of 334 335 these correlates of live vaccines are mechanistic determinants of adaptive immunity is still 336 ongoing, the ability of self-replicating RNA vaccines to simulate the sustained antigen 337 presentation characteristics of live vaccination could offer durable immunity against COVID-19. 338

339

340 Numerous studies have shown RNA vaccines to be immunogenic. In this study, we 341 conducted a side-by-side comparison of the immunogenicity elicited by two SARS-CoV-2 342 RNA vaccine candidates, a conventional mRNA construct and the STARR construct, 343 LUNAR-COV19. We found that, compared to conventional mRNA, LUNAR-COV19 344 produced higher and longer protein expression in vivo, upregulated the gene expression of several innate, B and T cell response genes in the blood and draining lymph nodes. These 345 346 properties were associated with significantly greater neutralizing antibody and SARS-CoV-2 specific IgG responses, CD8+ T cell responses, IFNy+ ELISPOT responses, and Th1 skewed 347 348 responses (which have been shown to associate with protection from VAERD) than

349 conventional mRNA. Interestingly, despite the highest tested dose of conventional mRNA

350 eliciting comparable S protein-specific antibodies as the lowest tested dose of LUNAR-

- 351 COV19, the conventional mRNA-elicited IgG did not show such robust avidity or
- 352 neutralization activity as those from LUNAR-COV19 vaccination. These data suggest a
- 353 qualitatively better humoral immune response with superior affinity maturation of B-cells
- 354 with the LUNAR-COV19 vaccine. Our findings thus highlight the immunological advantages
- 355 of self-replicating RNA over conventional mRNA platforms.
- 356

357 The superior quality of immune responses elicited by LUNAR-COV19 over the conventional 358 mRNA vaccine construct could be attributable to multiple factors. Higher and longer 359 expression of immunogens produce better immunity [32], likely through better engagement 360 of T follicular helper cells and thereby leading to more diverse antibody targets and more robust neutralizing antibody responses [33, 34]. Replication of LUNAR-COV19 results in the 361 362 formation of a negative-strand template for production of more positive-strand mRNA and sub-genomic mRNA expressing the S transgene. Interaction between the negative- and 363 positive-strands forms a double stranded RNA (dsRNA) intermediate, which would interact 364 365 with TLR3 and RIG-I-like receptors to stimulate type 1 interferon responses [35-37], which 366 we and others have previously shown to correlate with superior adaptive immune responses

**367** [11, 12, 18]. Production of IFNγ can also stimulate development of cytotoxic CD8+ T cells

- 368 [36]. Importantly, the S protein does contain human CD8+ T cell epitopes. As suggested by
- recent findings on T cell responses to SARS-CoV-2 and other coronavirus infections [38-40],

the development of T cell memory could be important for long-term immunity.

371

372 It is unclear whether the VEEV nsP1-4 forming the replication complex contains any

immunogenic properties although mutations in the nsP proteins have been shown to affect the

induction of type I IFN [41]. Although unexplored in our current study, VEEV replicons have

- also been shown to adjuvant immune responses at mucosal sites [42], further justifying the
- use of STARR platform to develop a COVID-19 vaccine.
- 377

378 In conclusion, STARR vaccine platform as exemplified by LUNAR-COV19, offers an

- approach to simulate key immunogenic properties of live virus vaccination and offers the
- 380 potential for an effective single-shot vaccination against COVID-19.
- 381

#### 382 METHODS (Supplement 1)

## 383 Vaccine plasmid constructs and design

A human codon-optimized spike (S) glycoprotein gene of SARS-CoV-2 (GenBank 384 385 accession: YP 009724390) was cloned into plasmids pARM2922 and pARM2379 for generation of SARS-CoV-2 Spike expressing STARR and conventional mRNA, respectively. 386 387 The STARR plasmid also encoded for the Venezuela equine encephalitis virus (VEEV) non-388 structural proteins nsP1, nsP2, nsP3 and nsp4, which together form the replicase complex that 389 bind to the sub-genomic promoter placed right before the S protein sequence. The cloned 390 portions of all plasmid constructs were verified by DNA sequencing. Plasmids were 391 linearized immediately after the poly(A) stretch and used as a template for in vitro transcription reaction with T7 RNA polymerase. For LUNAR-CoV19 vaccine, the reaction 392 393 for RNA was performed as previously described [43] with proprietary modifications to allow 394 highly efficient co-transcriptional incorporation of a proprietary Cap1 analogue and to achieve high quality RNA molecule of over 11,000-nt long the STARR mRNA. RNA was 395 396 then purified through silica column (Macherey Nagel) and quantified by UV absorbance. For 397 the conventional mRNA vaccine, the RNA was synthesized similarly but with 100% 398 substitution of UTP with N1-methyl-pseudoUTP. For both LUNAR-CoV19 and conventional mRNA vaccines, the RNA quality and integrity were verified by 0.8-1.2% non-399 400 denaturing agarose gel electrophoresis as well as Fragment Analyzer (Advanced 401 Analytical). The purified RNAs were stored in RNase-free water at -80 °C until further use.

402

### 403 Vaccine lipid nanoparticles (LNPs)

404 LUNAR® nanoparticles encapsulating STARR<sup>TM</sup> were prepared by mixing an ethanolic

405 solution of lipids with an aqueous solution of STARR<sup>TM</sup> RNA. Lipid excipients (Arcturus

- 406 Therapeutics proprietary ionizable lipid, DSPC, Cholesterol and PEG2000-DMG) are
- dissolved in ethanol at mole ratio of 50:10: 38.5:1.5 or 50:13:35.5:1.5. An aqueous solution

408 of the vaccine RNA is prepared in citrate buffer pH 4.0. The lipid mixture is then combined

- 409 with the vaccine RNA solution at a flow rate ratio of 1:3 (V/V) via a proprietary mixing
- 410 module. Nanoparticles thus formed are stabilized by dilution with phosphate buffer followed
- 411 by HEPES buffer, pH 8.0. Ultrafiltration and diafiltration (UF/DF) of the nanoparticle
- 412 formulation is then performed by tangential flow filtration (TFF) using modified PES hollow-
- 413 fiber membranes (100 kDa MWCO) and HEPES pH 8.0 buffer. Post UF/DF, the formulation
- 414 is filtered through a 0.2 μm PES filter. An in-process RNA concentration analysis is then

- 415 performed. Concentration of the formulation is adjusted to the final target RNA concentration
- followed by filtration through a 0.2 μm PES sterilizing-grade filter. Post sterile filtration,
- 417 bulk formulation is aseptically filled into glass vials, stoppered, capped, and frozen at -70  $\pm$
- 418 10°C. Analytical characterization included measurement of particle size and polydispersity
- 419 using dynamic light scattering (ZEN3600, Malvern Instruments), pH, Osmolality, RNA
- 420 content and encapsulation efficiency by a fluorometric assay using Ribogreen RNA reagent,
- 421 RNA purity by capillary electrophoresis using fragment analyzer (Advanced Analytical),
- 422 lipid content using HPLC,.

## 423 In vitro transfection and immunoblot detection of spike protein

- 424 Hep3b cells (seeded in 6-well plates at a density of 7 X 10<sup>5</sup> cells/well, a day before) were
- 425 transfected with purified IVTs (2.5  $\mu$ g conventional mRNA and 2.5  $\mu$ g STARR ) by
- 426 Lipofectamine MessengerMax transfection reagent (Thermo Fisher Scientific) according to
- 427 the manufacturer's instruction. The cells were harvested the next day with a hypotonic buffer
- 428 (10 mM Tris-HCl, 10 mM NaCl supplemented with protease inhibitor cocktail (Roche))
- 429 followed by sonication. Samples were deglycosylated followed by treatment with PNGase F
- 430 (New England Biolabs) according to the manufacture's instruction.
- The protein lysate (10 µg) was resolved on a 7.5% NuPAGE Tris-Acetate gel (Thermo Fisher
  Scientific), and the spike protein expression was analyzed by LI-COR Quantitative Western
  Blot system using a rabbit antibody detecting S1 (40150-T62-COV2, Sino Biologic) and a
  mouse antibody for S2 region (GTX632604, GeneTex) along with appropriate secondary
  antibodies (goat anti-rabbit 800 and goat anti-mouse 680).

# 436 Animal studies

# 437 *BALB/c studies*

438 All BALB/c mouse studies were approved by the Explora Biolabs IACUC and performed

- 439 under the Animal Care and Use Protocol number EB-17-004-003. A head-to-head
- 440 comparison of the protein expression of the conventional mRNA and STARR vaccine
- 441 platforms was conducted using conventional mRNA and STARR constructs expressing a
- 442 luciferase reporter gene. BALB/c mice (Jackson Laboratory) were intramuscularly (IM) in
- the *rectus femoris* with conventional mRNA or STARR at doses of 0.2, 2 and 10  $\mu$ g (n=3
- 444 mice/group). Expression of the conventional mRNA and STARR constructs were measured

at days 1, 3 and 7 post-inoculation through luciferase expression by imaging the mice forbioluminescence.

447

448 Humoral responses to the SARS-CoV-2 Spike vaccine candidates were tested in Female

449 BALB/c mice (Jackson Laboratory) aged 8-10 weeks by IM immunization of the *rectus* 

450 *femoris* with either conventional mRNA or LUNAR-COV19 at doses 0.2 μg, 2 μg, or 10 μg

451 (*n*=5 mice/group). Mice were bled at baseline and at 10, 19, 30, 40, 50- and 60-days post-

452 vaccination to assess SARS-CoV-2 specific humoral immune responses.

453

454 *C57BL/6* 

455 All C57BL/6 mouse studies were performed in accordance with protocols approved by the 456 Institutional Animal Care and Use Committee at Singapore Health Services, Singapore (ref no.: 2020/SHS/1554). C57BL/6 mice purchased from inVivos were housed in a BSL-2 457 458 animal facility at Duke-NUS Medical School. Groups of 6-8 weeks old wild-type C57BL/6 459 female mice were vaccinated intramuscularly with either conventional mRNA or LUNAR-COV19 at doses 0.2 µg, 2 µg, or 10 µg. For transcriptomic and T cell studies, submandibular 460 461 bleeds were performed for whole blood at 24 hrs post-vaccination. Day 7 post-immunization. 462 mice were sacrificed at and inguinal lymph nodes and spleen harvested for investigation of 463 immune gene expression and T cell responses, respectively. Splenocyte suspensions for 464 measuring T cell responses were obtained by crushing spleen through a 70µm cell strainer (Corning). Red blood cells were removed by lysis using BD PharmLyse reagent. For 465 antibody studies, another set of vaccinated 6-8 weeks old mice were bled at baseline and at 466 467 10, 20, and 30 days post-vaccination.

468

469 SARS-CoV-2 challenge experiments were conducted with female B6;SJL-Tg(K18-470 hACE2)2Prlmn/J mice purchased from Jackson laboratory. Groups of 6-8 weeks old wild-471 type C57BL/6 female mice were vaccinated intramuscularly with 100 µl LUNAR-COV19 at 472 doses of 2 µg, or 10 µg. Submandibular bleeds were performed for serum isolation to 473 determine antibody titers via PRNT 28 days post vaccination. Animal were infected with 474  $5x10^4$  TCID50 in 50µl via the intranasal route. Daily weight measurements and clinical scores were obtained. Mice were sacrificed when exhibiting greater than 20% weight loss or 475 476 clinical score of 10. To assess organ viral loads, mice were sacrificed 5 days post infection 477 and harvested organs were frozen at -80°C. Whole lungs and brains were homogenized with

MP lysing matrix A and F according to manufacturer's instructions in 1ml PBS. Homogenate
was used to assess both plaque titers and RNA extraction using TRIzol LS (Invitrogen). No
blinding was done for animal studies.

481

## 482 Gene expression of immune and inflammatory genes

Whole blood collected 1-day post-vaccination was lysed using BD PharmLyse reagent and 483 484 RNA extracted using Qiagen RNAeasy kit. Mouse lymph nodes collected from 7 days post vaccination were homogenized and RNA extracted using trizol LS. RNA (50 ng) from whole 485 486 blood cells and lymph nodes were hybridized to the NanoString nCounter mouse 487 inflammation and immunology v2 panels (Nanostring Technologies), respectively. As 488 previously described [20, 44], RNA was hybridized with reconstituted CodeSet and ProbeSet. Reactions were incubated for 24 hours at 65°C and ramped down to down to 4°C. 489 490 Hybridized samples were then immobilized onto a nCounter cartridge and imaged on a 491 nCounter SPRINT (NanoString Technologies). Data was analyzed using the nSolver 492 Analysis software (Nanostring Technologies) and Partek Genomics Suite. For normalization, 493 samples were excluded when percentage field of vision registration is <75, binding density 494 outside the range 0.1–1.8, positive control R2 value is <0.95 and 0.5 fM positive control is  $\leq 2$ 495 s.d. above the mean of the negative controls. Background subtraction was performed by 496 subtracting estimated background from the geometric means of the raw counts of negative 497 control probes. Probe counts less than the background was floored to a value of 1. The 498 geometric mean of positive controls was used to compute positive control normalization 499 parameters. Samples with normalization factors outside 0.3–3.0 were excluded. The 500 geometric mean of housekeeping genes was used to compute the reference normalization 501 factor. Samples with reference factors outside the 0.10–10.0 range were also excluded. 502 Hierarchical clustering was performed with Partek Genomics Suite v6 on gene sets zScore 503 values by Euclidean dissimilarity and average linkage.

504

To identify DEGs between groups, Partek Genomics Suite Analysis v7 software was used to analyse variance (ANOVA) with a cut off-of P < 0.05. Log<sub>2</sub> Fold Changes generated were used for volcano plots constructed using Prism v8.1.0 software. DEGs were identified by a fold change cut-off of 2. Unsupervised principle component analysis was performed to visualize variability between vaccinated and non-vaccinated animals with Partek genomics suite analysis v7 software. PCA ellipsoids were drawn with a maximum density and 3 subdivisions.

#### 512

## 513 Flow cytometry

- 514 Surface staining was performed on freshly-isolated splenocytes using the following panel of
- 515 antibodies and reagents: B220 (RA3-6B2), CD3 (17A2), CD4 (RM4-5), CD8α (53-6.7),
- 516 CD44 (IM7), CD62L (MEL-14) and DAPI. Intracellular cytokine staining was performed by
- stimulating freshly-isolated splenocytes with 50 ng/ml PMA and 500 ng/ml ionomycin in the
- 518 presence of GolgiPlug (BD) for 6 h. After stimulation, surface staining of CD3, CD4 and
- 519 CD8a was performed followed by intracellular staining of IFN-γ (XMG1.2) and IL-4
- 520 (11B11). Data acquisition was performed on a BD LSRFortessa and analyzed using FlowJo.
- 521

# 522 *ELISPOT*

- 523 ELISPOT was performed using mouse IFN-γ ELISpot<sup>BASIC</sup> kit (Mabtech). A similar protocol
- has been used for human SARS-CoV-2 samples [40]. In brief,  $4 \ge 10^5$  freshly-isolated
- 525 splenocytes were plated into PVDF-coated 96 well plates containing IFN-γ capture antibody
- 526 (AN18). Cells were stimulated with a 15-mer peptide library covering part of the S protein.
- 527 143 total peptides were divided into four pools and used at a final concentration of  $1 \mu g/ml$
- 528 per peptide. Negative control wells contained no peptide. Following overnight stimulation,
- 529 plates were washed and sequentially incubated with biotinylated IFN-γ detection antibody
- 530 (R4-6A2), streptavidin-ALP and finally BCIP/NBT. Plates were imaged using ImmunoSpot
- analyzer and quantified using ImmunoSpot software.
- 532

# 533 Luminex Immuno-assay

- 534 Longitudinal assessment of binding antibody
- 535 Longitudinal IgM and IgG responses in BALB/c and C57BL/6 were measured using an in-
- 536 house Luminex Immuno-assay. Similar Luminex Immuno-assays have been previously
- 537 described for antibody detection against SARS-CoV-2 antigens [45, 46]. Briefly, Magpix
- 538 Luminex beads were covalently conjugated to insect-derived HIS-tagged SARS-CoV-2
- sign whole Spike protein (SinoBiologicals) using the ABC coupling kit (Thermo) as per
- 540 manufacturer's instructions. Beads were then blocked with 1%BSA, followed by incubation
- 541 with serum (diluted at 1:2000 in block) for 1 hr at 37C. Beads are then washed and SARS-
- 542 CoV-2 Spike-specific mouse antibodies were detected using the relevant biotinylated
- 543 secondary antibody (i.e. anti-mouse IgM-biotin and anti-mouse IgG-biotin (Southern
- 544 Biotech) for IgM and IgG assessment, respectively) with streptavidin-PE (Southern Biotech).
- 545 Antibody binding to Spike were then measured on a Magpix instrument as median

546 fluorescence intensity (MFI). Spike antigen quantity on beads were also probed with anti-6xHIS-PE antibodies and all MFI values were then corrected to Spike antigen quantity to 547

548 account for experiment to experiment variation.

549

#### 550 *IgG and IgG subclass endpoint titers*

551 IgG endpoint titers to mammalian-derived SARS-CoV-2 Spike, Spike domain 1 (S1), spike

- 552 domain 2 (S2) and receptor binding domain (RBD) at day 30 sera post-immunization were
- 553 measured using Luminex ImmunoAssay. Assay was conducted as described above, with the
- modification of serially diluting serum 10-fold from 200 to  $2x10^8$ . Similarly, IgG subclass 554
- endpoint titers (i.e. IgG1 and IgG2a in BALB/c and IgG1 and IgG2c in C57BL/6) were 555
- measured against mammalian-derived SARS-CoV-2 Spike protein using serially diluted 556
- 557 mouse sera (5-fold from 200 to 3.1x10<sup>6</sup>) and secondary antibodies anti-IgG1-biotin, anti-
- IgG2a-biotin or anti-IgG2b-biotin (Southern Biotech). Four parameter logistic (4PL) curves 558
- 559 were fitted to the measured MFI data from serially diluted sera, and three times the
- 560 background (i.e. 3x MFI with no serum) was used as a threshold cutoff to estimate endpoint 561 titers.
- 562
- 563 IgG Avidity

Avidity index of IgG to SARS-CoV-2 Spike protein at day 30 sera post-immunization was 564

565 estimated using the Luminex ImmunoAssay. Assay was conducted as described above with

566 the minor modification of following bead incubation with serum (diluted at 1:2000) with

567 either a 10 min PBS or 8M urea wash. Avidity Index was estimated by subtracting

- 568 background MFI from all sample values, and then dividing MFI with 8M Urea wash by MFI
- 569 with PBS wash.
- 570

#### 571 Neutralization assay

#### 572 Virus Neutralization titer assay (VNT)

Neutralization sero-conversion was assessed at day 10 and 20 post-immunization in BALB/c 573

- 574 using a virus neutralization assay as previously described [47]. Briefly, sera were diluted to
- 575 1:20 in culture media, mixed at a 1:1 ratio with a Singaporean clinical isolate of live SARS-
- CoV-2 virus, isolate BetaCoV/Singapore/2/2020 (GISAID accession code EPI\_ISL\_406973) 576
- 577 and incubated for 1 hr at 37C. Virus-antibody immune-complexes were then added to Vero-
- 578 E6 cells (ATCC) in 96-well plates, and incubated at 37C. Five days later, plates were
- assessed under a microscope for cytopathic effect (CPE) of the cells. 579

# 580

# 581 *Plaque reduction neutralization titer (PRNT)*

- 582 Neutralization of live SARS-CoV-2 was measured by PRNT at day 30 post-vaccination in
- 583 both BALB/c and C57BL/6 mice. Similar protocols have been published previously for
- 584 SARS-CoV-2 [48]. Briefly, mouse sera were serially diluted from 1:20 to 1:320 in culture
- 585 media and incubated with the Singapore isolate of SARS-CoV-2 virus for 1 hr at 37C. Virus-
- antibody mixtures were then added to Vero-E6 cells in 24-well plates, incubated for 1-2 hrs,
- then overlayed with carboxymethyl cellulose (CMC) and incubated at 37C under 5% CO<sub>2</sub>. At
- 588 5 days, cells are washed, stained with crystal violet and plaques counted. The serum dilution
- leading to neutralization of 50% of virus, i.e. PRNT50, was estimated.
- 590

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- salary support from the NMRC Clinician-Scientist Award (Senior Investigator).
- 596

# 597 AUTHOR CONTRIBUTIONS

- 598 Ruklanthi de Alwis was responsible for the humoral characterization of the immune response
- from the LUNAR COV19 vaccine. Esther S Gan was responsible for the huACE2 transgenic
- 600 mouse challenge studies and expression profiling analysis of the LUNAR COV19 vaccine.

# 601 DECLARATION OF INTERESTS

- 602 D.M., E.A., P.H., J.P., M.A., H.B., A.D., B.B., B.C., J.V., S.R, J.A.G., M.S., R.Y., W.T.,
- 603 K.T., S.P., P.K., J.D., S.S., S.H. and P.C. are employees of Arcturus Therapeutics, Inc.
- 604

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### **FIGURES**





Figure 1. Design and Expression of a SARS-COV-2 vaccine with conventional mRNA
 and self-transcribing and replicating RNA (STARR<sup>®</sup>) platforms. A) Schematic diagram

of the SARS-CoV-2 self-replicating STARR RNA (LUNAR<sup>®</sup>-COV19) and conventional

- 720 mRNA vaccine constructs. The STARR construct encodes for the four non-structural
- 721 proteins, ns1-ns4, from Venezuelan equine encephalitis virus (VEEV) and the unmodified
- 722 full-length pre-fusion spike (S) protein of SARS-CoV-2. The mRNA construct also codes for
- the same SARS-CoV-2 full length spike S protein. **B**) Physical characteristics and RNA
- trapping efficiency of the LNP encapsulating conventional mRNA and LUNAR-COV19
- vaccines. C) Western blot detection of SARS-CoV-2 S protein following transfection of
   HEK293 cells with LUNAR-COV19 and conventional mRNA. D) *In vivo* comparison of
- 727 protein expression following IM administration of LNP containing luciferase-expressing
- 728 STARR RNA or conventional mRNA. Balb/c mice (n=3/group) were injected IM with 0.2
- $\mu$ g, 2.0 µg and 10.0 µg of STARR RNA or conventional mRNA formulated with the same
- 730 lipid nanoparticle. Luciferase expression was measured by *in vivo* bioluminescence on days
- 731 1, 3 and 7 post-IM administration. S domain 1 = S1, S domain 2 = S2, transmembrane
- 732 domain = TM, cytoplasmic domain = CP; aka = also known as.



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Figure 2: Clinical Scores, mouse weights and transcriptomic analysis of immune genes
 following vaccination with LUNAR-COV19 or conventional mRNA SARS-CoV-2

**vaccine candidates.** A) C57BL/6 mice (*n*=5/group) were immunized with either PBS,

- mRNA or LUNAR-COV19 (doses 0.2  $\mu$ g, 2  $\mu$ g or 10  $\mu$ g), weight and clinical scores
- assessed every day, bled at day 1 post-immunization, sacrificed at 7 days post-vaccination
  and lymph nodes harvested. Gene expression of inflammatory genes and immune genes were
- measured in whole blood (at day 1) and lymph nodes (at day 7), respectively. **B**) Expression
- of IFN and inflammatory response genes in whole blood presented as heatmap of z scores. C)
- 742 Lymph node weights at 7 days post-vaccination. Principal component analysis (PCA) of
- immune gene expression following vaccination with conventional mRNA or LUNAR-
- 744 COV19 at doses **D**) 0.2 μg, **E**) 2 μg and **F**) 10 μg. Volcano plots of fold change of LUNAR-
- 745 COV19 versus conventional mRNA (x-axis) and Log<sub>10</sub> *P*-value of LUNAR-COV19 versus
- conventional mRNA (y-axis) for doses G) 0.2 μg, H) 2 μg and I) 10 μg. Study design

schematic diagram created with BioRender.com. Weights of lymph nodes were compared between groups using a two-tailed Mann-Whitney U test with \* denoting 0.05 < P < 0.01.



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Figure 3. Cellular immune responses following vaccination with LUNAR-COV19 and

752 conventional mRNA. C57BL/6 mice (*n*=5 per group) were immunized with 0.2 µg, 2.0 µg, or 10.0 µg of LUNAR-COV19 or conventional mRNA via IM, sacrificed at day 7 post-753 754 vaccination and spleens analyzed for cellular T cell responses by flow-cytometry and ELISPOT. A-B) CD8<sup>+</sup> and C) CD4<sup>+</sup> T effector cells were assessed in vaccinated animals 755 using surface staining for T cell markers and flow-cytometry. **D-E**) IFN $\gamma^+$  CD8<sup>+</sup> T cells and 756 F) Ratio of IFN $\gamma^+$ / IL4<sup>+</sup> CD4<sup>+</sup> T cells in spleens of immunized mice were assessed following 757 758 ex vivo stimulation with PMA/IO and intracellular staining. G-I) SARS-CoV-2 S proteinspecific responses to pooled S protein peptides were assessed using IFNy ELISPOT assays 759 following vaccination with mRNA (H) or LUNAR-COV19 (I). Percentage of CD8+ cells, 760 CD4+ cells, IFNy and IL4 producing T cells were compared between groups using two-tailed 761 Mann-Whitney *U* test with \* denoting 0.05<*P*<0.01, and \*\*0.01<*P*<0.001. 762

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Figure 4: LUNAR-COV19 elicits a higher quality humoral response than conventional 770 mRNA platform. A) BALB/c and C57BL/6J mice were immunized via IM with 0.2 µg, 2 771  $\mu$ g, or 10  $\mu$ g of LUNAR-COV19 or conventional mRNA (*n*=5/group). Blood sampling was 772 conducted at baseline, and days 10, 19, 30, 40, 50 and 60 post-vaccination for BALB/c and 773 days 10, 20 and 30 for C57BL/6J. B-C) IgM and D-E) IgG against the SARS-CoV-2 S 774 775 protein over time, assessed using insect cell-derived whole S protein in a Luminex immuno-776 assay (measured as MFI). IgG endpoint titers to mammalian-derived whole S protein, S1, S2 and RBD proteins to mammalian-derived whole S protein at day 30 post-vaccination were 777

assessed in F) BALB/c and G) C57BL/6J. H) Avidity of SARS-CoV-2 S protein-specific

- IgG at day 30 post-immunization was measured using 8M urea washes. I) Neutralizing
- antibody (PRNT50 titers) at day 30 post-vaccination against a clinically isolated live SARS-
- 781 CoV-2 virus measured in both BALB/c and C57BL/6J. Gray dashed lines depict the serum
- dilution range (i.e. from 1:20 to 1:320) tested by PRNT. J) PRNT50 and K) PRNT70 of
- 783 SARS-CoV-2 neutralization at day 30 and day 60 post-vaccination in BALB/c and
- convalescent sera from COVID-19 patients. L) Correlation analysis of Spike-specific IgG
- endpoint titers against SARS-CoV-2 neutralization (PRNT50). Antibody data were compared
- between groups using a two-tailed Mann-Whitney U test with \* denoting  $0.05 \le P \le 0.01$ , and
- **787 \*\***0.01<*P*<0.001.
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**Figure 5. LUNAR-COV19 elicits Th1 biased immune responses.** SARS-CoV-2 spikespecific IgG subclasses and the ratio of IgG2a/c/IgG1 at 30 days post-vaccination with LUNAR-COV19 and conventional mRNA in **A**) BALB/c and **B**) C57BL/6J mice. Th2 cytokine and Th1/Th2 skew in CD4 T cells at day 7 post-vaccination in C57BL/6J mice measured by ICS as **C**) percentage of IL4+ CD4 T cells and **D**) ratio of IFN $\gamma^+$ /IL4<sup>+</sup> CD4<sup>+</sup> T cells. Antibody titers and T cell data were compared between groups using a two-tailed Mann-Whitney *U* test with \* denoting 0.05<*P*<0.01, and \*\*0.01<*P*<0.001.

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829 Supplementary Figure 1. Whole blood transcriptomic data at 1-day post-prime vaccination

- 830 showing Nanostring counts per 50ng RNA of selected IFN and inflammatory genes.

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836 Supplementary Figure 2. Correlation analysis of live SARS-CoV-2 neutralization against
837 binding IgG and IgG subclasses in BALB/c and C57BL/6J mouse strains. A) Spearman

838 correlation analysis of SARS-CoV-2 neutralization (PRNT50) against total IgG specific to

839 several SARS-CoV2 antigens, including S, S1, and RBD recombinant proteins. **B**) Spearman

- 840 correlation analysis of SARS-CoV-2 neutralization (PRNT50) against SARS-CoV2 S-
- specific IgG subclasses (IgG1 and IgG2a or IgG2c).